



# **HEREFORD & WORCESTER Fire Authority**

**Audit and Standards Committee**

## **AGENDA**

**Wednesday, 06 September 2017**

**09:30**

**Conference Suites**

**Headquarters, 2 Kings Court, Charles Hastings Way,  
Worcester, WR5 1JR**

## **ACTION ON DISCOVERING A FIRE**

- 1 Break the glass at the nearest **FIRE ALARM POINT**.  
(This will alert Control and other Personnel)
- 2 Tackle the fire with the appliances available – **IF SAFE TO DO SO**.
- 3 Proceed to the Assembly Point for a Roll Call –

**CAR PARK OF THE OFFICE BUILDING ADJACENT TO THE CYCLE SHED TO THE LEFT OF THE ENTRANCE BARRIER TO 2 KINGS COURT.**

- 4 Never re-enter the building – **GET OUT STAY OUT**.

## **ACTION ON HEARING THE ALARM**

- 1 Proceed immediately to the Assembly Point

**CAR PARK OF THE OFFICE BUILDING ADJACENT TO THE CYCLE SHED TO THE LEFT OF THE ENTRANCE BARRIER TO 2 KINGS COURT.**

- 2 Close all doors en route. The senior person present will ensure all personnel have left the room.
- 3 Never re-enter the building – **GET OUT STAY OUT**.

## **GUIDANCE NOTES FOR VISITORS**

### **Security**

Upon arrival, visitors are requested to proceed to the barrier and speak to the reception staff via the intercom. There are parking spaces allocated for visitors around the front of the building, clearly marked. Upon entering the building, you will then be welcomed and given any further instructions. In particular it is important that you sign in upon arrival and sign out upon departure. Please speak to a member of the reception staff on arrival who will direct you to the appropriate meeting room.

### **Wheelchair access**

The meeting room is accessible for visitors in wheelchairs.

### **Alternative formats**

For information regarding requests for papers in alternative formats, please contact Committee & Members' Services on 01905 368241 /209 or by email at [committeeservices@hwfire.org.uk](mailto:committeeservices@hwfire.org.uk).

**Smoking** is not permitted.

**First Aid** -please ask at reception to contact a trained First Aider.

**Toilets** – please ask at reception.

**ACCESS TO INFORMATION – YOUR RIGHTS.** The press and public have the right to attend Local Authority meetings and to see certain documents. You have:

- the right to attend all Authority and Committee meetings unless the business to be transacted would disclose “confidential information” or “exempt information”;
- the right to film, record or report electronically on any meeting to which the public are admitted provided you do not do so in a manner that is disruptive to the meeting. **If you are present at a meeting of the Authority you will be deemed to have consented to being filmed or recorded by anyone exercising their rights under this paragraph;**
- the right to inspect agenda and public reports at least five days before the date of the meeting (available on our website: <http://www.hwfire.org.uk>);
- the right to inspect minutes of the Authority and Committees for up to six years following the meeting (available on our website: <http://www.hwfire.org.uk>); and
- the right to inspect background papers on which reports are based for a period of up to four years from the date of the meeting.

A reasonable number of copies of agenda and reports relating to items to be considered in public will be available at meetings of the Authority and Committees. If you have any queries regarding this agenda or any of the decisions taken or wish to exercise any of these rights of access to information please contact Committee & Members’ Services on 01905 368209 or by email at [committeeservices@hwfire.org.uk](mailto:committeeservices@hwfire.org.uk).

**WELCOME AND GUIDE TO TODAY’S MEETING.** These notes are written to assist you to follow the meeting. Decisions at the meeting will be taken by the **Councillors** who are democratically elected representatives and they will be advised by **Officers** who are paid professionals. The Fire and Rescue Authority comprises 25 Councillors and appoints committees to undertake various functions on behalf of the Authority. There are 19 Worcestershire County Councillors on the Authority and 6 Herefordshire Council Councillors.

**Agenda Papers** - Attached is the Agenda which is a summary of the issues to be discussed and the related reports by Officers.

**Chairman** - The Chairman, who is responsible for the proper conduct of the meeting, sits at the head of the table.

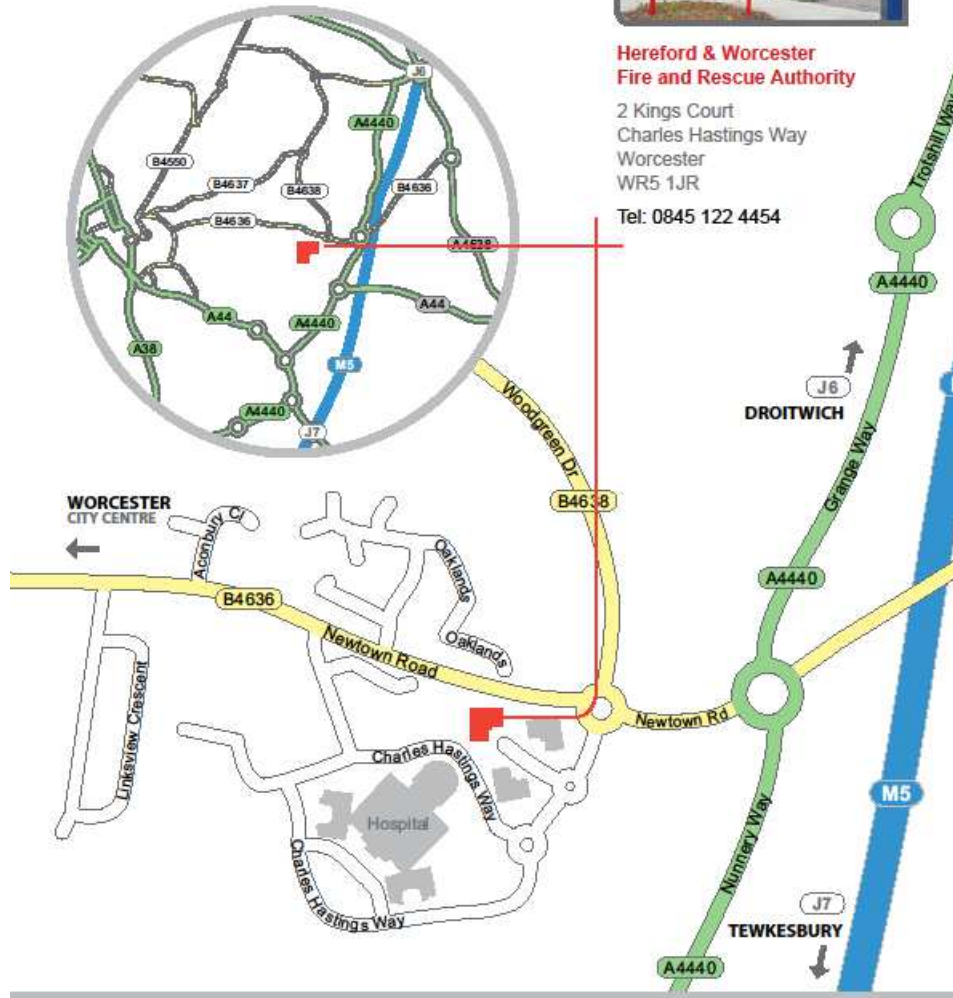
**Officers** - Accompanying the Chairman is the Chief Fire Officer and other Officers of the Fire and Rescue Authority who will advise on legal and procedural matters and record the proceedings. These include the Clerk and the Treasurer to the Authority.

**The Business** - The Chairman will conduct the business of the meeting. The items listed on the agenda will be discussed.

**Decisions** - At the end of the discussion on each item the Chairman will put any amendments or motions to the meeting and then ask the Councillors to vote. The Officers do not have a vote.



Tel: 0845 122 4454





# Hereford & Worcester Fire Authority

## Audit and Standards Committee

Wednesday, 06 September 2017,09:30

### Agenda

Councillors

Mr M Hart (Chairman), Mr A Amos (Vice Chairman), Ms P Agar, Ms K S Guthrie, Mr I D Hardiman, Mr Al Hardman, Mr J L V Kenyon, Mr R I Matthews, Mr P Middlebrough, Dr K Pollock, Professor J W Raine, Mr S D Williams

No.	Item	Pages
1	<b>Apologies for Absence</b>  To receive any apologies for absence.	
2	<b>Named Substitutes</b>  To receive details of any Member of the Authority nominated to attend the meeting in place of a Member of the Committee.	
3	<b>Declarations of Interest (if any)</b>  This item allows the Chairman to invite any Councillor to declare an interest in any of the items on this Agenda.	
4	<b>Confirmation of Minutes</b>  To confirm the minutes of the meeting held on 12.04.2017	8 - 12
5	<b>Draft Annual Governance Statement 2016/17</b>  To consider evidence compiled during the self assessment review which provides the assurances that sit behind the Annual Governance Statement.	13 - 63

<b>6</b>	<b>Approval of the Annual Statement of Accounts 2016/17</b>	<b>64 - 135</b>
	To present the Annual Statement of Accounts 2016/17 for approval. For the purposes of the Chairman's Briefing, included in this agenda pack is the unaudited version of accounts. The final version and cover report will follow once the audit has been completed.	
<b>7</b>	<b>External Audit Findings Report 2016/17</b>	<b>136 - 175</b>
	To put forward the External Auditors' Report for consideration and to recommend approval of the letter of representation.	
<b>8</b>	<b>External Audit: Future Plans</b>	<b>176 - 186</b>
	To update the Committee on the audit fee for 2017/18 and the scope and timing of work to be undertaken along with Audit arrangements for future years.	
<b>9</b>	<b>Annual Statement of Assurance 2017-18</b>	<b>187 - 204</b>
	To consider and approve the draft Statement of Assurance 2017/18 for publication	
<b>10</b>	<b>Internal Audit Annual Report 2016/17</b>	<b>205 - 222</b>
	To provide the Committee with: <ul style="list-style-type: none"> <li>• the overall results in terms of meeting Internal Audit's (IA's) objectives as set out in the internal audit plan for 2016/2017,</li> <li>• an audit opinion and commentary on the overall adequacy and effectiveness of the internal control environment.</li> <li>• an updated internal audit charter.</li> </ul>	
<b>11</b>	<b>Internal Audit Monitoring Report 2017/18</b>	<b>223 - 238</b>
	To provide the Committee with a progress update on the 2017/18 audit plan delivery and residual summary reporting for 2016/17.	
<b>12</b>	<b>Organisational Development - Equality and Diversity Review</b>	<b>239 - 306</b>
	To provide an update on the findings of an independent review of Equality and Diversity in the Service and to outline steps being taken to address key points and recommendations.	

<b>13</b>	<b>Employment Monitoring Data 2016-17</b>	<b>307 - 359</b>
	To summarise progress against the Public Sector Equality Duty, prior to the publication of the Employment Monitoring Data 2016-17 on the Service website.	
<b>14</b>	<b>Health and Safety Committee Update</b>	<b>360 - 379</b>
	To provide the Audit and Standards Committee with an update on the activities and items of significance from the Service's Health and Safety Committee.	